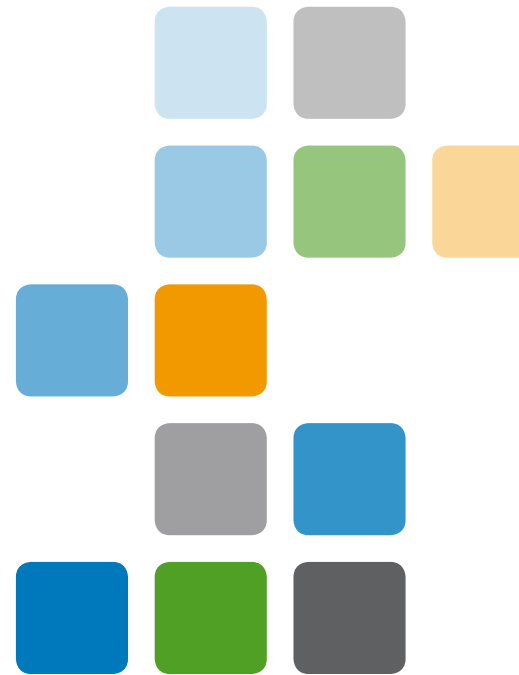




GREATER TRANSPARENCY AND CONTROL

PERUVIAN AUDIT COURT USES
ATTENSITY DISCOVER FOR
FRAUD DETECTION

THANKS TO ATTENSITY DISCOVER,
MEMBERS OF THE STAFF OF THE
PERUVIAN AUDIT COURT ARE NOW ABLE
TO ACCESS ALL OF THEIR COLLECTED
LEGAL KNOWLEDGE AT THE CLICK OF
A MOUSE WHEN AUDITING A PUBLIC
AUTHORITY.



ATTENSITY


The challenge

In Peru, as in virtually every country in the world, cases of fraud, embezzlement, usurpation and abuse of authority in public offices are not unknown. To expose such offenses and ensure that public funds are in fact being used for their intended purposes, the Audit Court of the Republic of Peru audits public authorities at regular intervals. After conducting an on-site audit, the auditor draws up a report. If there is evidence of criminal or civil misconduct, by individuals or the institution as a whole, he initiates legal proceedings. Administrative offenses are dealt with internally. The work of the auditors has always been tedious and time-consuming, as they have had no technical equipment, uniform auditing regulations or database of past cases to rely on. As a result, only very few audits were conducted, and public officials had little reason to fear that their work would be scrutinized.

The Peruvian Audit Court therefore decided to undertake an initiative to thoroughly modernize its operations. A major component of this initiative was a partnership with the German federally-owned development organization Deutsche Gesellschaft für Technische Zusammenarbeit (GTZ) GmbH to implement an intelligent knowledge management system. The primary goal for the GTZ experts working for the Federal Ministry for Economic Cooperation and Development was very clear: The system had to be accessible to all members of the Audit Court staff through their EDP systems, and it had to contain all of the relevant information for the respective audit. Auditors were to be provided with a wide variety of search options so that they could access and automatically analyze all relevant information from the audit reports of the past ten years.

The solution

After conducting a thorough analysis of the available providers, the Audit Court selected the Attensity Discover knowledge management system. This highly intelligent system has the ability to provide comprehensive support for the Audit Court's investigations and significantly expedite the auditing process. If an auditor uncovers irregularities, he can use the system to search for similar cases in the past – in the same authority or elsewhere. A connection with potential crimes can therefore be identified more quickly and with much less effort. At the touch of a button, the auditor has access to his colleagues' experiences and comparable cases and receives information on exactly how he should proceed.



The system documents suspected cases of corruption in a way that ensures that the evidence can be used in court.

The implementation

The first step was to determine the expectations of the Audit Court – what the system should include, who should implement it and who should be responsible for repairing and maintaining it. The next step was to consider its content; this was determined by personnel from the Audit Court and GTZ in cooperation with Attensity. Taking into account applicable law, they established the criteria to be used for identifying a suspected crime.

The team found a precedent for each offense. The precedents are intended to help auditors track irregularities; they show how fraud, abuse of authority and similar offenses can manifest themselves in the course of an audit. There was also a detailed description of the required functions: the search criteria, the search options that should be available, etc.

After the system was put in place, all employees received extensive training in how to use the new IT tool.

The success

As they carry out an audit, Audit Court employees are now able, either through the Internet or offline, to access all of the legal knowledge that is at the disposal of the supervisory authority – laws, regulations, court decisions and, above all, reference cases. Auditors can use their laptops to search for key words or documents, and they can document their own cases to make the relevant information available to their colleagues. Now that information can be gathered more quickly and is always accessible from a central location, auditors can reduce by one-third the time required for drawing up a legally admissible evaluation. They no longer need to transport paper files from all over the country back to the Audit Court in Lima, the Peruvian capital, for analysis; with a simple click of the mouse they can retrieve the information they need. Another significant advantage: The system documents suspected cases of corruption in a way that ensures that the evidence gathered can be used in court. Given the significant benefits offered by Attensity Discover, it is no wonder that all of the employees of the Audit Court are now required to use this system.

With the help of one of the world's most powerful software solutions, the Peruvian Audit Court is now a role model for other such institutions – and not only in developing countries.

About GTZ

As a globally active company that is owned by Germany's federal government and dedicated to international cooperation for sustainable development, Deutsche Gesellschaft für Technische Zusammenarbeit GmbH (GTZ) assists the German government in pursuing its development policy goals. It offers long-term solutions in the areas of political, economic, ecological and social development in a globalized world, and promotes complex reforms and change, even under difficult circumstances. Its objective is to achieve lasting improvement in the conditions of people's lives.

“BY WORKING TOGETHER WITH ATTENSITY, WE HAVE BEEN ABLE TO ENHANCE THE SPEED AND PERFORMANCE OF THE AUDIT COURT WITHOUT HIRING MORE PERSONNEL. THIS CONTRIBUTES TO THE MODERNIZATION OF THE STATE, A GOAL WE ARE STRIVING TO ACHIEVE IN COOPERATION WITH THE PERUVIAN GOVERNMENT. THIS PROJECT IS AN IDEAL COMPLEMENT TO THAT EFFORT.”

Johannes Spitta
Project manager, GTZ in Latin America

ATTENSITY

Attensity Europe GmbH
Eastlands II, London Road
Basingstoke
RG21 4AW
United Kingdom
Phone/Fax: +44 208 5880620
ukinfo@attensity.com

Headoffice:

Attensity Europe GmbH
Europaallee 10
67657 Kaiserslautern
Germany
Phone: +49 631 303 5500
Fax: +49 631 303 5507
info-eu@attensity.com

Attensity "Opens the Enterprise" to the vast source of customer and business insights hidden in unstructured data and found online and in company documents, applications, content and more and uses those insights to enable key business processes. We offer an integrated suite of business applications that apply patented semantic technologies for analyzing, interpreting and automatically processing the growing volume of unstructured data inside and outside your company. Our products enable existing business processes and provide business leaders, customer support personnel and customers with relevant and actionable answers fast.